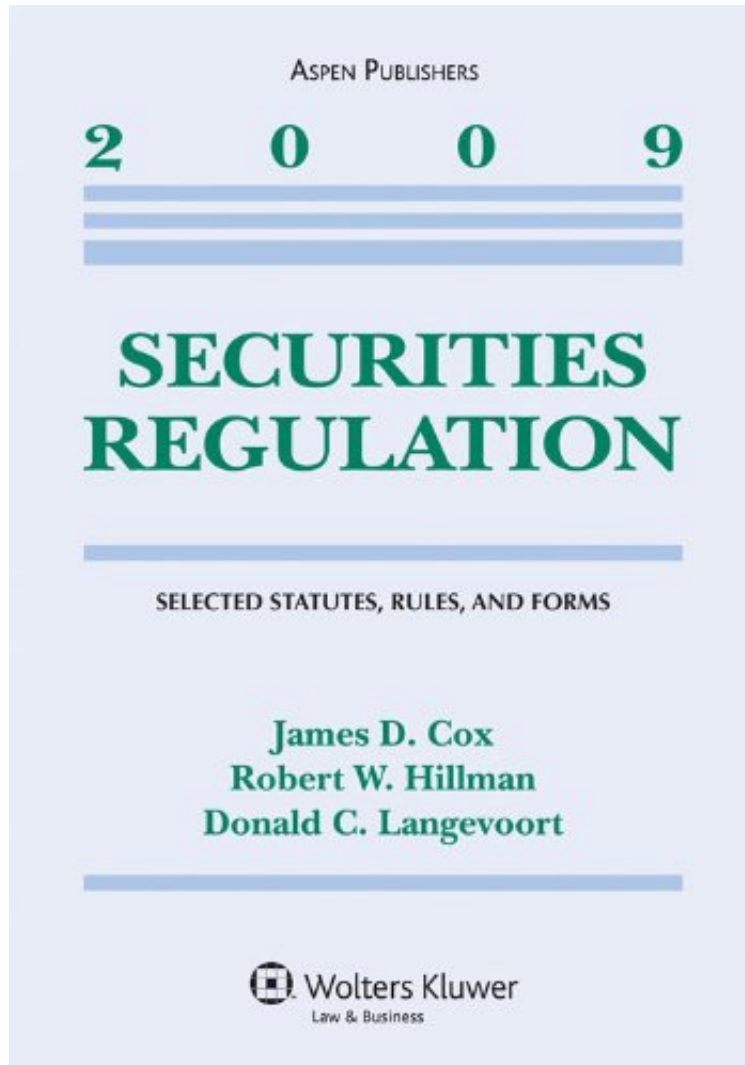


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James D. Cox, Professor Robert W. Hillman, Donald C. Langevoort
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- Rule 12h-6, deregistration of foreign issuers
- Rule 14a-16, Internet Availability of Proxy Materials
- Rule 14a-17, internet shareholder forums
- Section 15A(14), the military sales clause
- Section 15E, regarding credit rating agencies
- Rule 17g-1 through 17g-6, new credit rating agency regulations
- SEC amendment to Rule 146(b)(2), adding new subsection (v) referencing "The Nasdaq Capital Market"

The Investment Advisers Act

- Section 206(4)-8, hedge fund antifraud rule

Regulations S-K, S-X, M, SHO, M-A, AC, FD, and G

Securities Act of 1933

- Rules and Forms
- SEC Rule 151a, defining the terms "annuity contract" and "optional annuity contract"

Rules of Practice and Investigations (Standards of Professional Conduct for Attorneys)

Staff Accounting Bulletins

Sarbanes-Oxley Act 2002

Investment Advisers Act of 1940

Advisers Act Rules

Investment Company Act of 1940

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