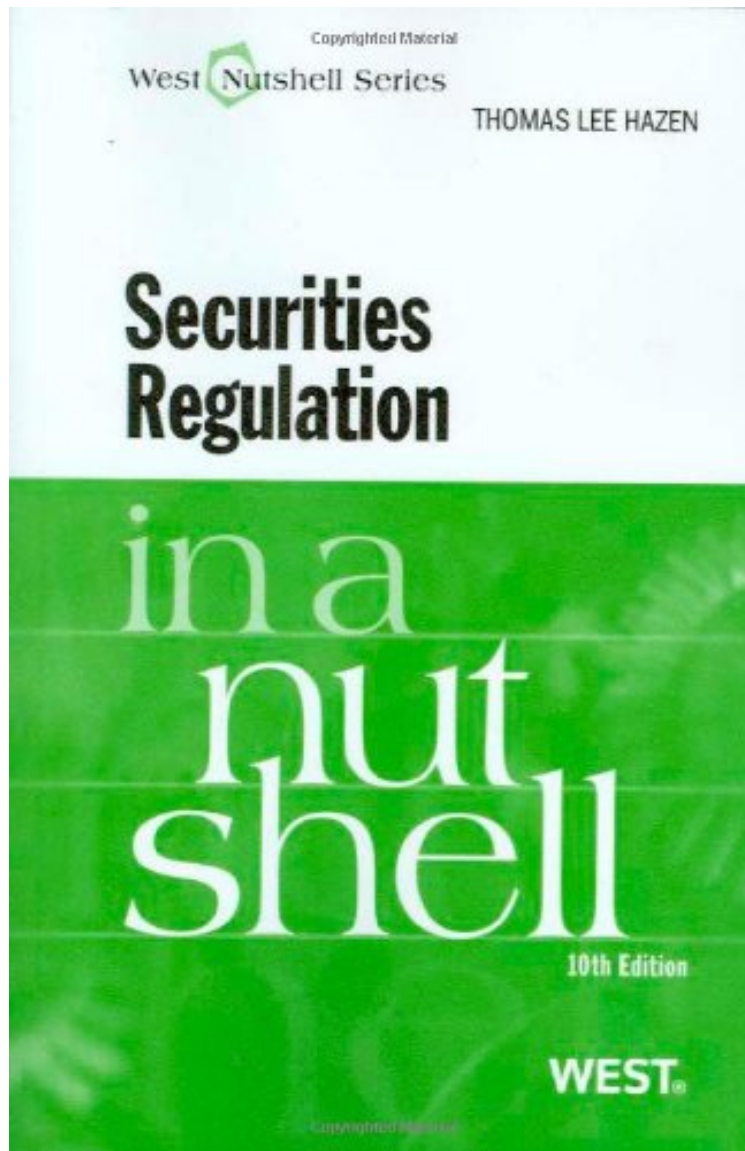


[Get free] Securities Regulation in a Nutshell, 10th (Nutshell Series)

## Securities Regulation in a Nutshell, 10th (Nutshell Series)

*Thomas Hazen*

*ebooks | Download PDF | \*ePub | DOC | audiobook*



[Download](#)

[Read Online](#)

#557870 in Books 2009-09-17 2009-09-16Ingredients: Example IngredientsOriginal language:EnglishPDF #1 7.25 x 4.75 x .75l, .70 #File Name: 0314187987415 pages | File size: 28.Mb

**Thomas Hazen : Securities Regulation in a Nutshell, 10th (Nutshell Series)** before purchasing it in order to gage whether or not it would be worth my time, and all praised Securities Regulation in a Nutshell, 10th (Nutshell Series):

1 of 1 people found the following review helpful. The Nutshell Series is WonderfulBy SueI'm not a securities lawyer, but I need to know about the securities laws, and this book fits the bill. I had an older version that I used when I started my job in the securities industry, and it puts everything together in a very succinct way.1 of 1 people found the following review helpful. Excellent Book!By SnowbirdGreat overview of securities regulation.6 of 7 people found the

following review helpful. An excellent framework for securities regulationsBy ScottI was reluctant to purchase this book since there was no comment online. Finally I just purchased it as I detected no alternative, and felt fortunate that I have made a wise determination. This book provides a beginner with an excellent framework for US securities regulations and structure of SEC departments and their functions. I am a junior in a law firm, and, based on this book, I have just found that research in this field has become much much easier. I love the nutshell series.

This title will help you acquire an understanding of the basic content and organization of federal and state securities law. An authoritative summary, it covers the essential background and current status of each major area, while keeping details and citations to a minimum. It discusses the regulations governing public offerings, public companies, securities businesses, and investment companies. It also explores sanctions, civil liabilities, and extraterritorial application. This edition includes recent developments including the Securities and Exchange Commission's 1933 Act offering reform, the new Financial Industry Regulatory Authority, credit rating agencies, and changes to the New York Stock Exchange specialist system.