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Breton Leone-Quick, Scott H. Kimpel, Robert B. Lamm, David A. Lips, William R. Rohrlich II, Gregory J. Nowak, Gerry Pecht

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Breton Leone-Quick, Scott H. Kimpel, Robert B. Lamm, David A. Lips, William R. Rohrlich II, Gregory J. Nowak, Gerry Pecht : SEC Compliance Best Practices, 2016 ed.: Leading Lawyers on Understanding New Regulations and Developing Compliance Strategies (Inside the Minds)

before purchasing it in order to gauge whether or not it would be worth my time, and all praised SEC Compliance Best Practices, 2016 ed.: Leading Lawyers on Understanding New Regulations and Developing Compliance Strategies (Inside the Minds):

Dealing with the Securities and Exchange Commission is a complicated area for companies and their counsel, particularly in the years since the 2008-2009 financial crisis. Many changing laws and compliance requirements require attorneys to be alert and work hard in order to keep up with the current legal environment. In SEC Compliance Best Practices, expert lawyers offer guidance on the various regulations and latest trends, including the emphasis on municipal securities, the expansion of regulatory authority, and the need for compliance in new forms of fundraising, such as crowdfunding. The authors also discuss whistleblower protection, disclosure rules, and other aspects of SEC compliance that both lawyers and clients must understand. In addition, they analyze the increasing globalization of the securities industry and how political landscapes in different countries affect SEC regulations. For anyone who frequently works in the securities industry, SEC Compliance Best Practices is a valuable tool for learning the ins and outs of this complex and shifting landscape. Inside the Minds provides readers with proven business and legal intelligence from leading C-Level executives and lawyers. Each chapter offers thought leadership and expert analysis on an industry, profession, or topic, providing a future-oriented perspective and proven strategies for success. Each author has been selected based on their experience and C-Level standing within the business and legal communities. Chapters Include: 1. Breton Leone-Quick, Partner, Mintz Levin Cohn Ferris Glovsky and Popeo PC -"Emerging Trends in SEC Enforcement and Compliance" 2. Scott H. Kimpel, Partner, Hunton Williams LLP -"Staying in Compliance with the SEC's Latest Rules and Regulations in a Rapidly Changing Environment" 3. Robert B. Lamm, Co-Chair, Securities and Corporate Governance Practice Group, Gunster Yoakley Stewart PA -"New Securities Law Compliance Challenges and How to Overcome Them" 4. David A. Lips, Attorney, Hall Render Killian Heath Lyman -"New Considerations for SEC Compliance" 5. William R. Rohrlisch II, Shareholder, Winstead PC -"SEC Regulations and Compliance: Considerations in Light of Recent Developments" 6. Gregory J. Nowak, Partner, Pepper Hamilton LLP -"Keeping Up with the Changing Facets of Securities Law" 7. Gerry Pecht, Peter Stokes, and Mark Oakes, Partners, Norton Rose Fulbright US LLP -"SEC Targets Companies, Executives, Directors, and Outside Accountants Over Deficiencies in Internal Controls"