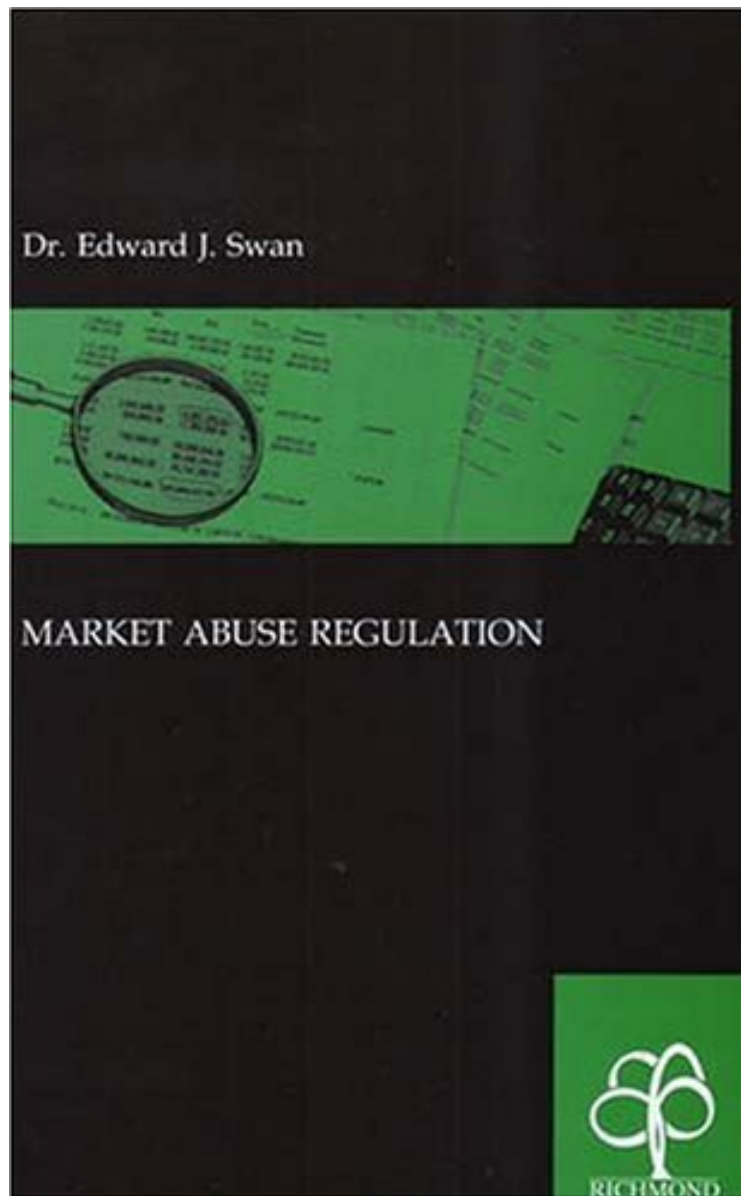


Market Abuse Regulation

Edward J. Swan

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Edward J. Swan : Market Abuse Regulation before purchasing it in order to gauge whether or not it would be worth my time, and all praised Market Abuse Regulation:

Market abuse prevention is critical in maintaining confidence in financial markets where competition for large

financial transactions is growing more intense. The US has had regulations to counter such market abuse as insider dealing and market manipulation for many years. However, it is only recently that the UK and the EU have thought it necessary to introduce legislation giving their regulators powers to deter and punish market abuse. This book clarifies the meaning, impact and application of the new UK and EU regulations, issues which have generated much interest and apprehension. The book examines in detail the new UK market abuse regime, including: - The Financial Services and Markets Act 2000 - The implementing regulations - The interpretation of the regulations by the Financial Services Authority - The conduct of investigations - The defences and appeals available against a finding of market abuse The work defines market abuse in order to isolate the ways in which financial institutions may fall foul of the rules on this subject. Consideration is also given to compliance and enforcement issues such as who is overseeing conduct on this area, what the enforcement procedure entails and an overview of the litigation process.

from previous edition: "An impressive and useful handbook to the law and practice of market abuse. Chapters 1 and 2 set the scene and draw together in an organized form the background points that are difficult to find anywhere else. What I like about the rest of the book is the clear and careful structure that makes the statutory code more accessible and understandable and creates a well-ordered and intelligible framework." --Stephen Oliver QC, President of the Financial Services and Markets Tribunal
About the Author Edward J Swan qualified as a New York lawyer in 1976 and was until recently a partner in the financial markets department at Simmons Simmons. He specialises in commodities, derivatives and financial services regulation and is a member of various ISDA Committees. He is the author of numerous books and articles on financial services law and derivatives trading and regulation.